# Essential Spectrum of Singular Matrix Differential Operators 

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(based on a joint work with P. Siegl and C. Tretter)

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## The Problem \& Motivations

- The operator matrix: $\ln L^{2}\left(\mathbb{R}_{+}\right) \oplus L^{2}\left(\mathbb{R}_{+}\right)$, consider

$$
\mathcal{A}=\left(\begin{array}{cc}
-\frac{\mathrm{d}}{\mathrm{~d} t} p \frac{\mathrm{~d}}{\mathrm{~d} t}+q & -\frac{\mathrm{d}}{\mathrm{~d} t} \bar{b}+\bar{c} \\
b \frac{\mathrm{~d}}{\mathrm{~d} t}+c & d
\end{array}\right)
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with sufficiently smooth $p, q, d: \mathbb{R}_{+} \rightarrow \mathbb{R}, p>0$ and $b, c: \mathbb{R}_{+} \rightarrow \mathbb{C}$.

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- Singular MDOs and related spectral problems are ubiquitous e.g. in stability problems of magnetohydrodynamics, fluid dynamics and astrophysics.


## Simple example

## Example. Consider, for $m \in \mathbb{N}$,

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\mathcal{A}_{m}=-\frac{\mathrm{d}^{2}}{\mathrm{~d} x^{2}} \quad \text { in } \quad L^{2}(-m, m)
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& \sigma_{\mathrm{ess}}\left(\mathcal{A}_{m}\right)=\emptyset!
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\end{array}\right) & =\left(-\frac{\mathrm{d}^{2}}{\mathrm{~d} x^{2}}-\lambda\right)\left(\mathrm{e}^{-\frac{x^{2}}{2}}-\lambda\right)-\left(-\frac{\mathrm{d}}{\mathrm{~d} x}\right)\left(\frac{\mathrm{d}}{\mathrm{~d} x}\right) \\
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& =-(\underbrace{\mathrm{e}^{-\frac{x^{2}}{2}}-1}_{=: \Delta(x)}-\lambda) \frac{\mathrm{d}^{2}}{\mathrm{~d} x^{2}}+\text { "lower order terms" }
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Ref: [Atkinson et al. (1994)]

- $\sigma_{\text {ess }}\left(\mathcal{A}_{\infty}\right)$ ? Maybe $\sigma_{\text {ess }}\left(\mathcal{A}_{\infty}\right)=\operatorname{cl}\left\{\bigcup_{m \in \mathbb{N}} \sigma_{\text {ess }}\left(\mathcal{A}_{m}\right)\right\}=[-1,0]$ ?
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- For $\sigma_{\text {ess }}(\mathcal{B})$, look at the symbol

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## Descloux - Geymonat conjecture ' 80

the appearance of the singular part in a model of magnetohydrodynamics

- Mathematically rigorous treatments of such problems were carried out during 1980-2011 by several authors including Adamyan, Chen, Descloux, Geymonat, Grubb, Hardt, Kako, Konstantinov, Kurasov, H. Langer, Lifshitz, Mennicken, Möller, Naboko, Qi, Raikov, Shkalikov, Tretter.
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- There were lack of the (comprehensive) analysis of the essential spectrum - in the general symmetric case.
- in the non-symmetric case
- in higher dimensions
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## Open questions

Is it true that

- the singular part is always present if the Schur complement is in limit-point case at singular end-point?
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Is it true that

- the singular part is always present if the Schur complement is in limit-point case at singular end-point?
- the regular and singular parts are always adjoined to each other?


## Main tools

- The first Schur complement associated to

$$
\mathcal{A}-\lambda=\left(\begin{array}{cc}
A-\lambda & B \\
C & D-\lambda
\end{array}\right)
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is given by

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S(\lambda)=A-\lambda-B(D-\lambda)^{-1} C, \quad \lambda \notin \sigma(D) .
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Frobenius-Schur factorization:

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- Essential spectrum in the scalar case: Consider in $L^{2}\left(\mathbb{R}_{+}\right)$,

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T=-\frac{\mathrm{d}^{2}}{\mathrm{~d} x^{2}}+a_{1}(x) \mathrm{i} \frac{\mathrm{~d}}{\mathrm{~d} x}+a_{0}(x),
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$a_{j}: \mathbb{R}_{+} \rightarrow \mathbb{C}$ are smooth, regular

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## Main tools

- The first Schur complement associated to

$$
\mathcal{A}-\lambda=\left(\begin{array}{cc}
A-\lambda & B \\
C & D-\lambda
\end{array}\right)
$$

is given by

$$
S(\lambda)=A-\lambda-B(D-\lambda)^{-1} C, \quad \lambda \notin \sigma(D) .
$$

Frobenius-Schur factorization:

$$
\mathcal{A}-\lambda=\left(\begin{array}{cc}
I & B(D-\lambda)^{-1} \\
0 & I
\end{array}\right)\left(\begin{array}{cc}
S(\lambda) & 0 \\
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$$
\sigma_{\text {ess }}(T)=\left\{\xi^{2}+c_{1} \xi+c_{0}: \xi \in \mathbb{R}\right\}
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Recall

$$
\mathcal{A}=\left(\begin{array}{cc}
-\frac{\mathrm{d}}{\mathrm{~d} t} p \frac{\mathrm{~d}}{\mathrm{~d} t}+q & -\frac{\mathrm{d}}{\mathrm{~d} t} \bar{b}+\bar{c} \\
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- $\operatorname{det}(\mathcal{A}-\lambda)=-(\Delta(t)-\lambda) \frac{\mathrm{d}^{2}}{\mathrm{~d} t^{2}}+$ "lower order terms", where

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\Delta(t):=d(t)-\frac{|b(t)|^{2}}{p(t)}, \quad t \in[0, \infty)
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S(\lambda)=:-\pi(\cdot, \lambda) \frac{\mathrm{d}^{2}}{\mathrm{~d} t^{2}}+\rho(\cdot, \lambda) \mathrm{i} \frac{\mathrm{~d}}{\mathrm{~d} t}+\kappa(\cdot, \lambda),
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where

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& \pi(\cdot, \lambda):=p-\frac{\left|b^{2}\right|}{d-\lambda}, \quad \rho(\cdot, \lambda):=-\frac{2 \operatorname{lm}(b \bar{c})}{d-\lambda}+\mathrm{i} \frac{\partial}{\partial t} \pi(\cdot, \lambda) \\
& \kappa(\cdot, \lambda):=q-\lambda-\frac{|c|^{2}}{d-\lambda}+\frac{\partial}{\partial t} \operatorname{Re}\left(\frac{\bar{b} c}{d-\lambda}\right)
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- earlier works concern special cases of classification in terms of $\pi_{0}(\lambda), \pi_{1}(\lambda)$ :
- in Kurasov, Lelyavin, Naboko (2008): $\pi_{0}(\lambda) \neq 0$ or $\pi_{0}(\lambda)=0, \pi_{1}(\lambda) \neq 0$.
- in Kurasov, Naboko (2003): $\quad \pi_{0}(\lambda)=\pi_{1}(\lambda)=0$;
- in Möller (2004): $\quad \pi_{0}(\lambda) \neq 0$;
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## Application to a spectral problem for symmetric stellar equilibrium models



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with coefficient functions

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- $p, q$ are related to Lane-Emden equation:

$$
\theta^{\prime \prime}(t)+\frac{2}{t} \theta^{\prime}(t)=-\frac{1}{\alpha^{2}} \theta(t)^{n}, \quad t \in(0, \infty)
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## Reference:

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## Thanks for your attention!

