Essential Spectrum of Singular Matrix Differential Operators

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(based on a joint work with P. Siegl and C. Tretter)

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$$\mathcal{A} = \begin{pmatrix} -\frac{\mathrm{d}}{\mathrm{d}t}\boldsymbol{\rho}\frac{\mathrm{d}}{\mathrm{d}t} + \boldsymbol{q} & -\frac{\mathrm{d}}{\mathrm{d}t}\overline{\boldsymbol{b}} + \overline{\boldsymbol{c}} \\ \\ \boldsymbol{b}\frac{\mathrm{d}}{\mathrm{d}t} + \boldsymbol{c} & \boldsymbol{d} \end{pmatrix}$$

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• Singular MDOs and related spectral problems are ubiquitous e.g. in stability problems of magnetohydrodynamics, fluid dynamics and astrophysics.

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$$\det \left(\begin{array}{cc} -\frac{\mathrm{d}^2}{\mathrm{d}x^2} - \lambda & -\frac{\mathrm{d}}{\mathrm{d}x} \\ \frac{\mathrm{d}}{\mathrm{d}x} & \mathrm{e}^{-\frac{x^2}{2}} - \lambda \end{array} \right) = \left(-\frac{\mathrm{d}^2}{\mathrm{d}x^2} - \lambda \right) \left(\mathrm{e}^{-\frac{x^2}{2}} - \lambda \right) - \left(-\frac{\mathrm{d}}{\mathrm{d}x} \right) \left(\frac{\mathrm{d}}{\mathrm{d}x} \right)$$

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• $\sigma_{ess}(\mathcal{A}_m) = \Delta([-m, m]) = [e^{-m^2/2} - 1, 0]$ Ref: [Atkinson et al. (1994)] • $\sigma_{ess}(\mathcal{A}_\infty)$? Maybe $\sigma_{ess}(\mathcal{A}_\infty) = cl \left\{ \bigcup_{m \in \mathbb{N}} \sigma_{ess}(\mathcal{A}_m) \right\} = [-1, 0]$? • $\sigma_{\rm ess}(A_{\infty})$ can be calculated explicitly since the entries have (asymptotically) constant coefficients.

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Descloux - Geymonat conjecture '80

the appearance of the singular part in a model of magnetohydrodynamics

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Essential Spectrum of Singular MDOs

 Mathematically rigorous treatments of such problems were carried out during 1980-2011 by several authors including Adamyan, Chen, Descloux, Geymonat, Grubb, Hardt, Kako, Konstantinov, Kurasov, H. Langer, Lifshitz, Mennicken, Möller, Naboko, Qi, Raikov, Shkalikov, Tretter.

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Is it true that

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- the regular and singular parts are always adjoined to each other?

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• Essential spectrum in the scalar case: Consider in $L^2(\mathbb{R}_+)$,

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$$\pi(\cdot,\lambda) := p - \frac{|b^2|}{d-\lambda}, \quad \rho(\cdot,\lambda) := -\frac{2\operatorname{Im}(b\overline{c})}{d-\lambda} + \operatorname{i}\frac{\partial}{\partial t}\pi(\cdot,\lambda),$$
$$\kappa(\cdot,\lambda) := q - \lambda - \frac{|c|^2}{d-\lambda} + \frac{\partial}{\partial t}\operatorname{Re}\left(\frac{\overline{b}c}{d-\lambda}\right).$$

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Output: Section 2 (S(λ)) using the explicit description of the essential spectrum in the scalar case.

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• characterize $0 \in \sigma_{ess}(S(\lambda))$ using the explicit description of the essential spectrum in the scalar case.

$$S(\lambda) = -\pi(\cdot, \lambda) \frac{d^2}{dt^2} + \rho(\cdot, \lambda) i \frac{d}{dt} + \kappa(\cdot, \lambda)$$
$$= \pi(\cdot, \lambda) \left(-\frac{d^2}{dt^2} + \frac{\rho(\cdot, \lambda)}{\pi(\cdot, \lambda)} i \frac{d}{dt} + \frac{\kappa(\cdot, \lambda)}{\pi(\cdot, \lambda)} \right)$$

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- earlier works concern special cases of classification in terms of $\pi_0(\lambda), \pi_1(\lambda)$:
 - in Kurasov, Lelyavin, Naboko (2008): $\pi_0(\lambda) \neq 0$ or $\pi_0(\lambda) = 0, \pi_1(\lambda) \neq 0$.
 - in Kurasov, Naboko (2003): $\pi_0(\lambda) = \pi_1(\lambda) = 0;$
 - in Möller (2004): $\pi_0(\lambda) \neq 0;$
 - in Mennicken, Naboko, Tretter (2002): $\pi_0(\lambda) = \pi_1(\lambda) = 0.$





In $L^2(0, R) \oplus L^2(0, R)$, consider

$$\mathcal{A} = \begin{pmatrix} -\frac{\mathrm{d}}{\mathrm{d}t} \rho_1 \frac{\mathrm{d}}{\mathrm{d}t} + q_1 & \frac{\mathrm{d}}{\mathrm{d}t} \rho_2 + q_2 \\ \\ -\rho_2 \frac{\mathrm{d}}{\mathrm{d}t} + q_2 & \rho_3 \end{pmatrix}$$

with coefficient functions

$$p_1 := \frac{\Gamma_1 p}{\varrho}, \quad p_2 := c \frac{\Gamma_1 \rho}{t \varrho}, \quad p_3 := c^2 \frac{\Gamma_1 \rho}{t^2 \varrho}, \quad \dots$$



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Reference:

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Thanks for your attention!